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Transdermal delivery of cannabidiol attenuates binge alcohol-induced neurodegeneration in a rodent model of an alcohol use disorder

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ABSTRACT

Excessive alcohol consumption, characteristic of alcohol use disorders, results in neurodegeneration and behavioral and cognitive impairments that are hypothesized to contribute to the chronic and relapsing nature of alcoholism. Therefore, the current study aimed to advance the preclinical development of transdermal delivery of cannabidiol (CBD) for the treatment of alcohol-induced neurodegeneration. In Experiment 1, 1.0%, 2.5% and 5.0% CBD gels were evaluated for neuroprotection. The 5.0% CBD gel resulted in a 48.8% reduction in neurodegeneration in the entorhinal cortex assessed by Fluoro-Jade B (FJB), which trended to statistical significance ($p = 0.069$). Treatment with the 5.0% CBD gel resulted in day 3 CBD plasma concentrations of ~100.0 ng/mL so this level was used as a target concentration for development of an optimized gel formulation. Experiment 2 tested a next generation 2.5% CBD gel formulation, which was compared to CBD administration by intraperitoneal injection (IP; 40.0 mg/kg/day). This experiment found similar magnitudes of neuroprotection following both routes of administration; transdermal CBD decreased FJB+ cells in the entorhinal cortex by 56.1% ($p < 0.05$), while IP CBD resulted in a 50.6% ($p < 0.05$) reduction in FJB+ cells. These results demonstrate the feasibility of using CBD transdermal delivery systems for the treatment of alcohol-induced neurodegeneration.

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1. Introduction

Approximately 8.5% of the U.S. population currently meets the diagnostic criteria for an alcohol use disorder (AUD; Hasin et al., 2007). Although four pharmacotherapy based interventions are approved in the U.S. for the treatment of AUDs, these drugs have had limited efficacy in the patient population (Litten et al., 2012). Additionally, these medications primarily target the motivational properties of alcohol, while the neurodegenerative effects of alcohol that are hypothesized to impair behavioral control and decision making, are not managed by these specific treatments. Therefore, identification of novel targets and development of new therapeutic agents is critical to improve pharmacotherapy based treatment strategies for AUDs.

Neuroprotective agents are hypothesized to have high therapeutic utility for the treatment of AUDs (Crews, 1999). Excessive alcohol intake, characteristic of AUDs, results in neurodegeneration and cognitive and behavioral impairment, effects which are hypothesized to influence the transition to addiction (Koob and Le Moal, 1997; Crews, 1999;

Sullivan and Pfefferbaum, 2005). Imaging studies have identified gross anatomical abnormalities throughout the brains of human alcoholics including widespread disruption of white matter tracts, atrophied cortical gray matter and increased cerebral spinal fluid filled space (Pfefferbaum et al., 1992; Mechtcheriakov et al., 2007; Demirakca et al., 2011). These effects have also been observed in post-mortem studies showing significant cortical neuronal loss in alcoholic brains (Harper and Kril, 1989; Kril et al., 1997), which is consistent with studies demonstrating long term or permanent deficits in function (Stavro et al., 2012). Some brain structures appear to be more susceptible to the neurodegenerative effects of alcohol, including the frontal lobe (Kril et al., 1997; Pfefferbaum et al., 1997; Qin and Crews, 2012), temporal lobe (Sullivan et al., 1995) and hippocampus (Sullivan et al., 1995). The aforementioned brain regions are involved in problem solving, attention, information processing, learning and memory and behavioral control, therefore it is not surprising that these functions are impaired in AUDs (Stavro et al., 2012). Importantly, a recent study described an association between reductions in cortical gray matter and risk for relapse (Rando et al., 2011), further substantiating the role of alcohol-induced neurodegeneration in AUDs. Therefore, elucidating the mechanism(s) underlying alcohol-induced neurodegeneration and developing neuroprotective pharmacotherapies could improve prevention and treatment strategies for AUDs.

Studies have suggested that chronic alcohol exposure is associated with induction of neuroinflammatory mediators and/or oxidative stress,

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which leads to neurodegeneration (Crews and Nixon, 2009; Qin and Crews, 2012). Consistent with this hypothesis, a variety of antioxidants, including α -tocopherol, butylated hydroxytoluene (BHT) and cannabidiol (CBD) have been effective in reducing binge alcohol induced neurodegeneration (Hamelink et al., 2005; Crews et al., 2006). Neuroprotection mediated by antioxidant treatment is associated with inhibition of NF- κ B–DNA binding, reductions of COX-2 expression and microglial activation (Crews et al., 2006), all of which support the hypothesis that neuroinflammatory signaling and/or oxidative stress contribute to alcohol-induced neurodegeneration (Crews and Nixon, 2009). These studies have clearly demonstrated that antioxidants protect against alcohol-induced neurodegeneration, therefore further development of these agents for clinical use is warranted.

CBD is a main constituent of *Cannabis sativa*. Unlike the more commonly recognized constituent, (–)- Δ^9 -tetrahydrocannabinol, CBD does not exhibit psychotropic effects as it is not an agonist at cannabinoid 1 receptors (Pertwee, 2008). In fact, CBD is very well tolerated in humans (Cunha et al., 1980). CBD has a plethora of actions, including anti-convulsive, anxiolytic, anti-relapse and neuroprotective properties (Hampson et al., 1998; Mechoulam et al., 2002; Ren et al., 2009), which make it an ideal candidate for treating multiple pathologies associated with AUDs. CBD was initially shown to be neuroprotective in an in vitro model of excitotoxicity by scavenging reactive oxygen species (Hampson et al., 1998). Indeed, comparison of CBD with well-known antioxidants including BHT and α -tocopherol, showed that CBD has a higher antioxidant capacity (Hampson et al., 1998). Extending these findings, another study demonstrated that CBD was neuroprotective in the modified Majchrowicz binge model of alcohol-induced neurodegeneration, presumably through its antioxidant activity (Hamelink et al., 2005).

Although CBD is efficacious in preclinical models and is safe for human use (Cunha et al., 1980), its clinical use has been minimal because of poor oral bioavailability and low aqueous solubility. Estimated oral bioavailability of CBD is roughly 6% (Agurell et al., 1981; Ohlsson et al., 1986); therefore, it is difficult and expensive to achieve suitable plasma levels for clinical efficacy. These drug delivery obstacles may be circumvented by alternative delivery routes, such as transdermal delivery (Paudel et al., 2010). Additionally, transdermal delivery is advantageous because it promotes patient compliance, as this route of administration is non-invasive and pain free compared to injectable formulations, which is especially important in the alcohol dependent population (Swift et al., 2011). Therefore, the current study investigated the utility of CBD transdermal systems for preventing alcohol-induced neurodegeneration using a well-established model of and AUD, the modified Majchrowicz binge model.

2. Materials and methods

2.1. Housing and animals

Adult male Sprague Dawley rats weighing approximately 275–300 g on arrival ($n = 148$, Charles River, Raleigh, NC) were used in these studies. All treatment protocols followed the *Guide for the Care and Use of Laboratory Animals* (NRC, 1996) and were approved by the University of Kentucky Institutional Animal Care and Use Committee. Rats were singly housed in Plexiglas cages in an AAALAC approved University of Kentucky vivarium on a 12 h light/dark cycle with access to rat chow and water ad libitum unless noted. During acclimation, rats were handled daily for at least 3 days to familiarize rats to experimenters.

2.2. Ethanol treatment

Rats were exposed to ethanol following the modified Majchrowicz binge model (Majchrowicz, 1975) as reported previously (Morris et al., 2010). This model maintains intoxicating blood ethanol concentrations

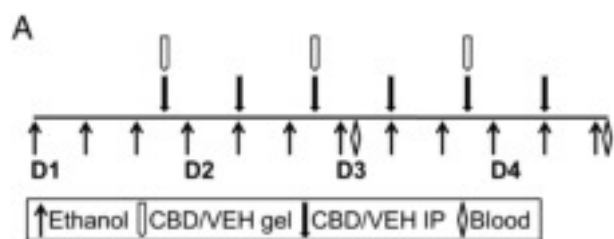
(BECs) typical of AUDs (Urso et al., 1981), with minimal mortality and a well-defined pattern of neurodegeneration (Collins et al., 1996; Kelso et al., 2011). Rat chow was removed from home cages and rats were administered with either ethanol (25% w/v) in nutritionally complete Vanilla Ensure Plus® (Abbott Laboratories, Columbus OH) or an isocaloric diet consisting of dextrose, water and Vanilla Ensure Plus® every 8 h for 4 days by intragastric gavage. Ethanol rats initially received a 5 g/kg priming dose, with subsequent doses based off the following intoxication scale: 0, Normal (5 g/kg); 1, slightly ataxic and hypoactive (4 g/kg); 2, ataxic with elevated abdomen and intact righting reflex (3 g/kg); 3, delayed righting reflex and lack of abdominal elevation (2 g/kg); 4, lack of righting reflex with intact eye blink reflex (1 g/kg); 5, unresponsive including loss of eye blink reflex (0 g/kg). BECs were measured in plasma from tail blood collected 90 min after the 7th dose of ethanol (day 3). Approximately 150 μ L of blood was collected into microcentrifuge tubes containing heparin (5 μ L; AAP pharmaceuticals, Schaumburg, IL), centrifuged at 1800 \times g for 5 min, and stored at -20 °C. BECs were determined in triplicate using a AM1 alcohol analyzer (Analox, Lunenburg, MA) calibrated to a 300 mg/dL external standard.

2.3. Cannabidiol regimen

CBD was synthesized by AllTranz Inc. and formulated for either intraperitoneal (IP) injection or transdermal gel application. CBD (6 mg/mL) and vehicle solutions for IP injections were prepared daily prior to the morning dose. IP solutions were comprised of 76% sterile saline, 21% cremophor and 3% absolute ethanol. The 1%, 2.5%, and 5% (w/w) CBD gels and vehicle gels were prepared and loaded into syringes for gel application. The active and vehicle gels prepared by AllTranz Inc. were composed of ethanol, propylene glycol, sterile water, Transcutol®, preservatives and a crosslinked polyacrylate polymer adjusted to the appropriate pH with triethanolamine to provide suitable rheological properties and pH dependent CBD stability. The optimized formulation described in Experiment 2 utilized only a 2.5% (w/w) CBD gel that contained decreased levels of ethanol and an increase in water content. Rats receiving gels had hair removed on their dorsal side using clippers prior to binge treatment and 24 h before the first gel application. Rats received CBD or vehicle starting after the third dose of ethanol by either daily gel application (11:00 am) or IP injection (20 mg/kg) twice daily (11:00 am and 11:00 pm; see Fig. 1A). This IP dose was chosen based off a previous study demonstrating CBD mediated neuroprotection using a similar binge model (Hamelink et al., 2005). Gels (750 μ L) were applied to a 35 cm² area and rubbed into the skin for 30 s with a finger covered by a nitrile glove.

2.4. Cannabidiol quantification

To determine plasma CBD concentrations, additional tail blood was collected on day 3 and trunk blood was collected during euthanasia. Approximately 250 μ L of blood was collected and placed into silanized microcentrifuge tubes containing heparin, centrifuged at 10,000 \times g for 3 min and plasma was stored at -70 °C until quantification by LC–MS. CBD was extracted according to previously described methods (Paudel et al., 2010). Briefly, CBD was extracted from 50 μ L of plasma using 500 μ L of acetonitrile (ACN):ethyl acetate (1:1, v/v). Samples were vortexed for 1 min, centrifuged for 20 min at 10,000 \times g and supernatants were placed into siliconized test-tubes and evaporated under nitrogen at 37 °C. Samples were reconstituted with 100 μ L of ACN, vortexed for 1 min and sonicated for 5 min before transfer to HPLC vials with silanized low volume HPLC inserts and placed in a Waters Alliance® 2695 HPLC system. CBD was resolved using a Waters Symmetry® C₁₈ reversed phase column (5 μ m, 2.1 \times 150 mm; Milford, MA) fitted with a Sentry Symmetry® C₁₈ (3.5 μ m, 2.1 \times 10 mm) guard column and a mobile phase consisting of ammonium acetate (2 mM): ACN (30:70 or 35:65 v/v) at a flow rate of 0.25 mL/min. Electrospray



B

Experiment 1 Groups	n
CtRL only [†]	9
CtRL + VEH gel [†]	6
CtRL + 1.0% CBD gel [†]	4
CtRL + 2.5% CBD gel [†]	-
CtRL + 5.0% CBD gel [†]	3
EtOH only [‡]	9
EtOH + VEH gel [‡]	6
EtOH + 1.0% CBD gel	5
EtOH + 2.5% CBD gel	4
EtOH + 5.0% CBD gel	6
Experiment 2 Groups	n
CtRL only	13
CtRL + VEH IP [†]	6
CtRL + VEH gel [‡]	6
CtRL + CBD(40.0 mg/kg/d, IP) [†]	9
CtRL + 2.5% CBD gel [‡]	6
EtOH only	13
EtOH + VEH IP	12
EtOH + VEH gel	7
EtOH + CBD (40.0 mg/kg/d, IP)	15
EtOH + 2.5% CBD gel	9

Note: groups with matching symbols were collapsed for analysis

Fig. 1. Treatment regimen for cannabidiol neuroprotection studies. Rats were administered with ethanol according to a 4-day binge paradigm (A). In addition to receiving ethanol, rats were co-administered with CBD by IP injection twice daily (filled arrows) or topical gel formulation daily (open arrows). Plasma samples were collected on day 3 and prior to euthanasia from tail vein blood or trunk blood or, respectively, for determination of blood ethanol concentrations and plasma CBD concentrations. Experiment groups with number of animals (B). Groups with matching symbols were collapsed prior to analysis.

ionization in negative mode was performed for CBD detection (m/z 313, retention time 7.7 or 9.8 min) with either a Waters Micromass ZQ™ 2000 mass spectrometer or a Waters Micromass Quattro Micro™ API system (Milford, MA).

2.5. Fluoro-Jade B staining

Following binge treatment, rats were euthanized by an overdose of sodium pentobarbital (Nembutal®, MWI Veterinary Supply, Nampa, ID or Fatal Plus®, Vortech Pharmaceuticals, Dearborn, MI) then perfused transcardially using 0.1 M phosphate buffered saline (PBS, pH 7.4) followed by 4% paraformaldehyde (PFA). Brains were extracted, post-fixed in 4% PFA at 4 °C overnight and stored in PBS at 4 °C until sectioning. Brains were cut in a 1:12 series on the coronal plane at 40 μm using a vibrating microtome (Leica Microsystems, Wetzlar, Germany) and stored in cryoprotectant at –20 °C. Fluoro-Jade B (FJB) was chosen over amino-cupric silver staining to assess neurodegeneration because it is more cost effective, less time consuming and more consistent (Schmued and Hopkins, 2000). Additionally, similar magnitudes of

effect are observed following either FJB or silver staining (Cippitelli et al., 2012), suggesting that FJB is an appropriate alternative to silver stain. FJB staining was performed according to the manufacturer's instructions (Millipore, Billerica, MA) as previously described (Obenier et al., 2002; Leasure and Nixon, 2010). A 1:12 series for each animal was washed (3 × 5 min in TBS) then mounted on Superfrost Plus® slides (Fisher Scientific, Pittsburgh, PA) and allowed to air dry overnight. Sections were then rehydrated (5 min, 1% sodium hydroxide in 80% ethanol; 2 min, 70% ethanol; 2 min, ddH₂O), incubated in 0.06% potassium permanganate for 10 min while gently shaking, rinsed in ddH₂O for 2 min and stained with 0.001% (w/v) FJB in 0.1% (v/v) acetic acid for 20 min while gently shaking in the dark. Sections were further rinsed (3 × 1 min) with ddH₂O in the dark, dried on a covered slide warmer and cover-slipped in Cytoseal® (Richard Allen Scientific, Kalamazoo, MI). FJB positive (+) cells were quantified at 200× or 400× magnification using an Olympus BX-51 microscope equipped for epifluorescence with a 488λ cube for blue excitation. The entorhinal cortex was defined using a rat brain atlas (Paxinos and Watson, compact 6th edition, 2009) and FJB+ cells were counted in the entorhinal cortex from –3.60 mm through –6.12 mm from bregma and averaged as the number of FJB+ cells/section. Although, neurodegeneration can be detected throughout the cortico-limbic pathway, only the entorhinal cortex was quantified as a screen for CBD effects because this brain region has the most reproducible injury severity. Stereology was not used because the entorhinal cortex does not have readily identifiable boundaries necessary for implementing stereological procedures and tissue thickness is difficult to accurately measure with the low background characteristic of FJB staining. Strict criteria were used to identify FJB+ cells: cells were included if they were in cortical layers II or III, displayed a pyramidal cell body characteristic of neurons, and/or had observable proximal dendrites. FJB+ cells were rarely observed in control rats (<1 cell/section) regardless of CBD treatment and were not significantly different, therefore were collapsed into a single control group for each study.

2.6. Statistical analysis

Statistics were performed using GraphPad Prism (GraphPad version 4.03, La Jolla, CA, USA). Average intoxication behavior was analyzed by Kruskal–Wallis tests followed by Dunn's post-hoc tests when appropriate. Average daily dose, BECs, and CBD plasma concentrations were analyzed by ANOVAs followed by Bonferroni post-hoc tests when appropriate. FJB data was analyzed using ANOVAs followed by planned post-hoc t-tests. Significant variability in FJB cell counts was expected based on previous experience with the binge model; therefore, experiments were designed a priori with the intention of collapsing ethanol and ethanol + vehicle rats in order to reduce the number of animals used while maintaining power. Additionally, the experiments were designed a priori to collapse control groups as FJB is rarely observed (<1 cell/section) in these rats. Values are presented as mean ± standard error of the mean and analyses were considered significant at $p < 0.05$.

3. Results

3.1. Experiment 1: Determination of a neuroprotective target CBD plasma concentration following of CBD transdermal delivery

Experiment 1 tested the neuroprotective effects of 1.0% ($n = 5$), 2.5% ($n = 4$) and 5.0% ($n = 6$) CBD gels. First, in order to rule out potentially confounding effects of CBD or vehicle treatment on ethanol pharmacokinetics and intoxication; intoxication behavior, ethanol dose and BECs were compared across treatment groups. Rats treated with ethanol only ($n = 9$) and ethanol plus vehicle gel ($n = 6$) were indistinguishable across all measured variables, therefore these groups were collapsed. Regardless of treatment, all rats displayed similar

intoxication behavior across the 4 days of binge treatment (Fig. 2A). The grand mean intoxication behavior was 2.5 ± 0.1 out of 5, which is indicative of rats being intoxicated to the level where they displayed a delayed righting reflex and ataxia. Analysis of mean intoxication behavior (Fig. 2A inset) revealed a main effect of treatment [$H_{(3)} = 8.258$; $p < 0.05$] and post-hoc tests indicated a significant difference between the ethanol/ethanol + vehicle and ethanol + 1.0% CBD groups ($p < 0.05$). Although a significant difference in intoxication was observed between these two groups, the effect was not large enough to result in different amounts of ethanol administered. The grand mean ethanol dose for rats in this experiment was 8.2 ± 0.2 g/kg/day, which was not different between groups (Fig. 2B). Accordingly, the grand mean peak BEC was 436.9 ± 11.1 mg/dL. Although one-way ANOVA revealed a significant effect of treatment [$F_{(3,29)} = 3.085$; $p = 0.045$], post-hoc analysis failed to reveal a significant difference between groups (Fig. 2C). These data indicate that transdermal vehicle or transdermal CBD did not alter the intoxicating effects or pharmacokinetics of ethanol. Additionally, these binge data are similar to previous reports using the modified Majchrowicz binge model (Morris et al., 2010).

Substantial FJB+ staining was observed in the entorhinal cortex following 4 days of binge ethanol treatment (Fig. 3). These cells were typically found in cortical layers II and III adjacent to the rhinal fissure and extending ventrally. FJB+ cells were rarely observed in control rats and control groups did not differ significantly, therefore, all controls were collapsed ($n = 22$). Ethanol only and ethanol + vehicle gel rats displayed statistically similar FJB+ cell counts, therefore these groups were collapsed prior to analysis. One-way ANOVA revealed a main effect of treatment [$F_{(4,47)} = 13.71$, $p < 0.0001$]. Post-hoc tests indicated that rats treated with 1.0% or 2.5% CBD gels had similar FJB+ cell counts as ethanol/ethanol + VEH gel rats. However, rats treated with 5.0% CBD gels had a 48.8% reduction in the number of FJB+ cells, which trended to statistical significance ($p = 0.069$).

CBD plasma concentrations were analyzed at the beginning of day 3 and at euthanasia (Fig. 1A). Control rats treated with 2.5% CBD gel were not included in this experiment therefore a two-way ANOVA was not performed. However, a one-way ANOVA of ethanol groups revealed a main effect of CBD gel percentage (Fig. 4A; [$F_{(2,12)} = 4.492$; $p < 0.05$]). Post-hoc analysis showed that 5.0% CBD gels resulted in significantly higher CBD plasma concentrations compared to the 1.0% CBD gel

group ($p < 0.05$). However, at euthanasia, CBD plasma concentrations were similar between ethanol groups (Fig. 4B; [$F_{(2,13)} = 0.29$; $p > 0.05$]).

3.2. Experiment 2: Neuroprotective effects of an optimized CBD transdermal delivery system and IP CBD delivery

Ethanol intoxication measures in this experiment were similar to Experiment 1 and the intoxicating effects of ethanol were similar between ethanol only ($n = 13$), vehicle IP ($n = 12$), CBD IP ($n = 15$), vehicle gel ($n = 7$) and CBD gel ($n = 9$) groups across the 4 days of binge treatment (Fig. 5A). The grand mean intoxication score was 2.2 ± 0.1 out of 5 (Fig. 5A inset); thus rats in this experiment were intoxicated to the level of delayed righting reflexes and ataxia. Additionally, each treatment group in this study received similar doses of ethanol, which on average were 8.4 ± 0.2 g/kg/day (Fig. 5B). The grand mean peak BEC for this experiment was 380.4 ± 7.8 mg/dL, which did not differ between groups (Fig. 5C), confirming that the drug treatments had no effect on the intoxicating effects or pharmacokinetics of ethanol.

Four days of binge ethanol exposure resulted in neurodegeneration as indicated by the presence of FJB+ cells along the entorhinal cortex. The severity of ethanol-induced damage in the entorhinal cortex was similar between Experiment 1 (Fig. 3) and Experiment 2 (Fig. 6). Similar to Experiment 1, controls ($n = 40$) were statistically similar and therefore collapsed across drug treatment. In contrast to the analysis conducted in Experiment 1, ethanol only and ethanol + vehicle groups were not collapsed because the vehicles in this study were delivered by different routes of administration. One-way ANOVA revealed a main effect of treatment [Fig. 6; $F_{(5,84)} = 10.63$; $p < 0.0001$]. Post-hoc analysis indicated that administration of CBD by IP administration significantly reduced FJB+ cells in the entorhinal cortex by 50.6% compared to the ethanol only group ($p < 0.05$). Similarly, transdermal administration of CBD significantly reduced FJB+ cells in the entorhinal cortex by 56.1% compared to the ethanol only group ($p < 0.05$). Although IP and transdermal CBD administration reduced FJB+ cells by 49.0% and 51.0% compared to their respective vehicle controls, this effect did not reach statistical significance ($p > 0.05$).

The mean plasma concentration from the 5% CBD gel group in Experiment 1 (Fig. 4) was used as a target concentration for Experiment 2 as

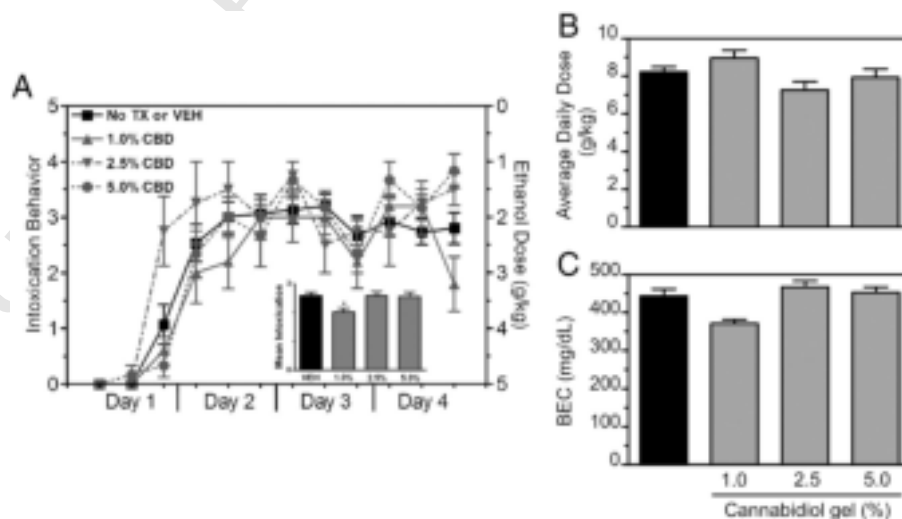


Fig. 2. Binge treatment data for Experiment 1. Rats were treated according to the modified Majchrowicz binge paradigm and administered with nothing, vehicle, 1%, 2.5% or 5.0% CBD gel formulations. Ethanol only and ethanol + vehicle groups were statically similar and therefore collapsed (black bars). Behavioral intoxication scores were similar across groups regardless of treatment (A, left axis), therefore each group received similar doses of alcohol (A, right axis). Although mean intoxication score for the 1.0% CBD gel group was significantly lower compared to the vehicle group (A inset), the average daily doses and blood ethanol concentrations did not differ between treatment groups (B–C). Collectively, the binge treatment data shows that CBD gel or vehicle gel treatment did not alter the pharmacokinetics or intoxicating effects of ethanol. * $p < 0.05$. Tx = treatment.

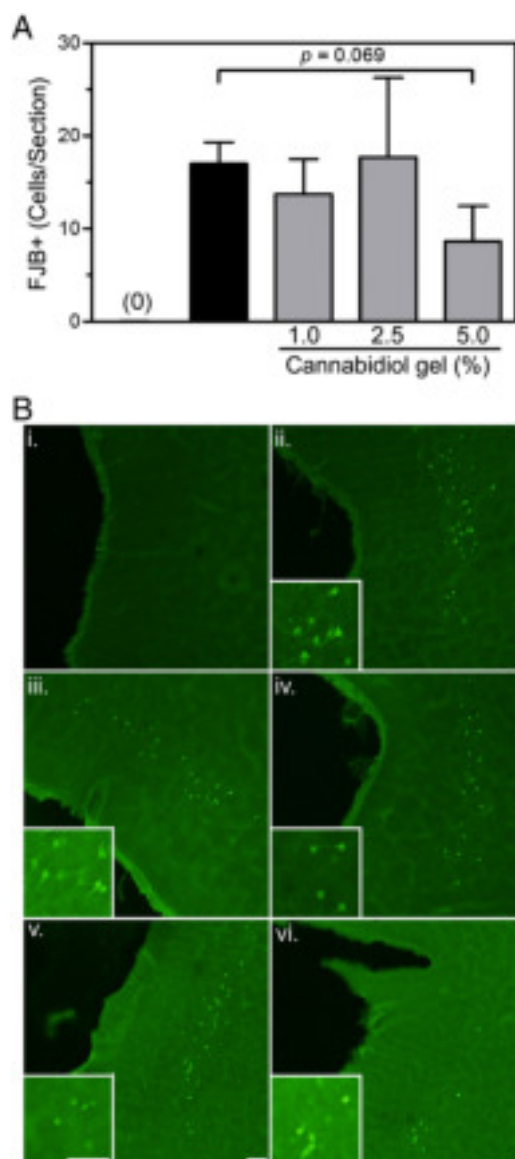


Fig. 3. Treatment with 5.0% CBD gel resulted in a reduction in Fluoro-Jade B positive (FJB+) cells in the entorhinal cortex following binge ethanol treatment. Quantification of FJB+ cells in the entorhinal cortex (A). Control rats typically had <1 FJB+ cell/section therefore were collapsed across treatment groups. Additionally, ethanol and ethanol + vehicle treated rats were indistinguishable, therefore collapsed. Representative images for each treatment group (B): control, i; ethanol, ii; ethanol + vehicle, iii; ethanol + 1.0% CBD, iv; ethanol + 2.5% CBD, v; ethanol + 5.0% CBD, vi. Scale bars = 50 μm.

363 this group displayed promising neuroprotective effects. Therefore, a plas-
 364 ma concentration of ~100 ng/mL was targeted following transdermal
 365 CBD treatment using a second generation gel formulation from AllTranz
 366 Inc. Although the new formulation in Experiment 2 only contained 2.5%
 367 CBD; day 3 target plasma concentrations of ~100 ng/mL was attainable
 368 on average (Fig. 7A). Two-way ANOVA revealed main effects of diet
 369 [$F_{(1,25)} = 7.480$; $p < 0.05$] and time-point [$F_{(1,25)} = 14.75$; $p < 0.001$],
 370 with a signification interaction [$F_{(1,25)} = 7.398$; $p < 0.05$]. Post-hoc
 371 analysis revealed that CBD plasma levels were significantly lower
 372 in binge ethanol treated rats at the day 3 time-point compared to
 373 controls ($p < 0.01$). Additionally, control CBD plasma levels during
 374 euthanasia were significantly lower than at day 3 ($p < 0.001$). CBD
 375 plasma levels following IP administration (40.0 mg/kg/day) were
 376 substantially higher than concentrations achieved following trans-
 377 dermal application (Fig. 7B) and were indistinguishable between
 378 control and ethanol treated rats.

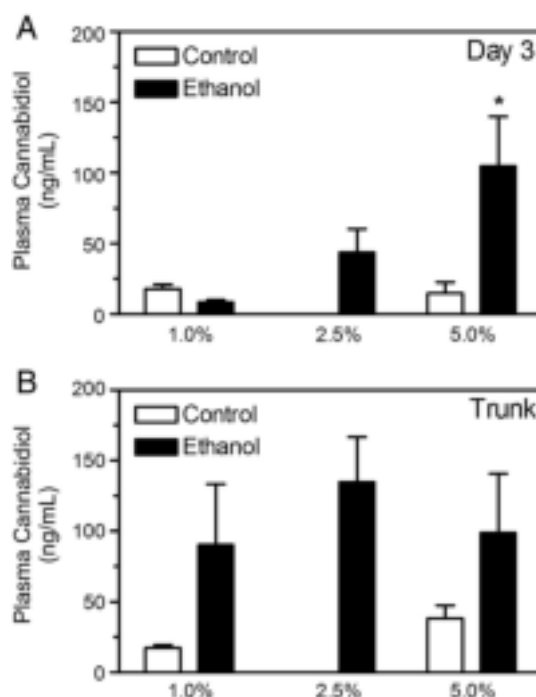


Fig. 4. CBD plasma concentrations following application of transdermal gel formulations containing 1.0%, 2.5% or 5.0% CBD. CBD plasma levels were quantified in plasma from tail vein blood collected 3 days into binge treatment (A). CBD plasma levels were quantified in plasma from trunk blood collected at euthanasia (B). * $p < 0.05$ compared to ethanol + 1.0% CBD.

4. Discussion

379

The current study examined the neuroprotective effects of transdermal 380
 381 CBD systems in an accepted model of an AUD that produces substan-
 382 tial neurodegeneration in the cortico-lymbic pathway. The first
 383 experiment was a pilot study to determine CBD plasma concentrations
 384 necessary to observe neuroprotection following transdermal CBD treat-
 385 ment. The 5% gel formulation in this experiment produced promising
 386 neuroprotective effects, a 48.8% decrease, while the 1.0% and 2.5% gels
 387 were ineffective (Fig. 3). The mean day 3 CBD plasma concentration
 388 for the 5% CBD gel group was ~100 ng/mL and was used as a target
 389 concentration because neuroprotection outcomes were promising
 390 for this group (Fig. 4). In Experiment 2, an optimized formulation
 391 was developed by AllTranz Inc. to efficiently deliver CBD at the target
 392 plasma concentration while using less CBD (Fig. 7). Importantly, the
 393 neuroprotective effects of transdermal delivery of CBD were compara-
 394 ble to the magnitude of neuroprotection observed following IP injection
 395 (Fig. 6). Although the degree of neuroprotection appeared to be modest,
 396 a 50–60% reduction in FJB+ cells in the entorhinal cortex is similar to
 397 previous studies testing neuroprotective agents using the same 4-day
 398 binge model (Hamelink et al., 2005; Crews et al., 2006; Cippitelli et al.,
 399 2010, 2012). Therefore, these results justify further preclinical develop-
 400 ment of transdermal CBD for the treatment of alcohol-induced neuro-
 401 degeneration. Furthermore, preclinical development of neuroprotective
 402 agents for the treatment of AUDs is warranted because alcohol-induced
 403 brain damage is hypothesized to be critical in promoting impairments
 404 in executive self-regulatory behavior, thus contributing to the down-
 405 ward spiral to addiction (Koob and Le Moal, 1997; Crews, 1999).

Interestingly, this study showed that transdermal and IP delivery 406
 407 of CBD produced similar magnitudes of neuroprotection although IP
 408 administration resulted in substantially higher CBD plasma levels.
 409 Although a full dose–response experiment was not conducted, the
 410 current data could suggest that the maximum effective concentra-
 411 tion (EC_{max}) of CBD was achieved following both routes of adminis-
 412 tration. However, an earlier study by Hamelink et al. failed to

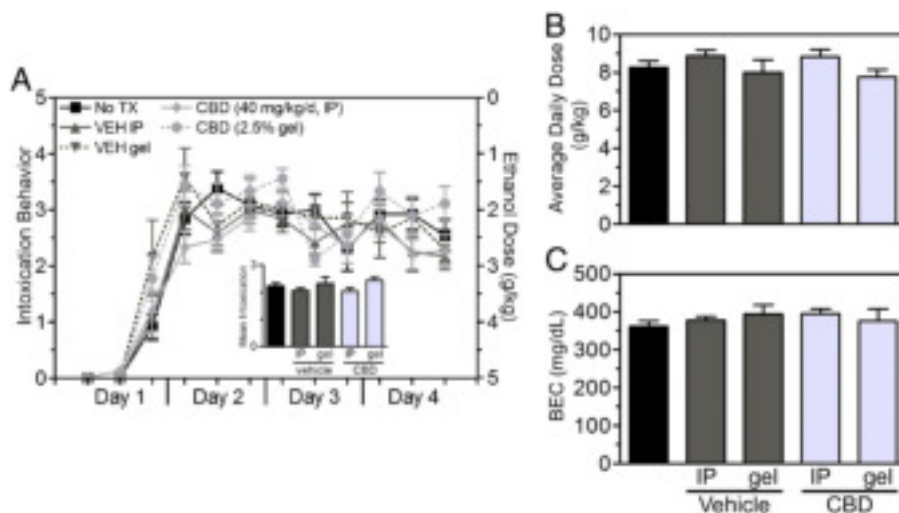


Fig. 5. Binge treatment data for Experiment 2. Rats were treated according to the modified Majchrowicz binge paradigm and administered with CBD or vehicle by a second generation transdermal gel or IP injection. Behavioral intoxication scores were similar across groups regardless of treatment (A, left axis), therefore each group received similar doses of ethanol (A, right axis). Average daily doses and blood ethanol concentrations did not differ between treatment groups (B–C). Collectively, the binge treatment data shows that CBD or vehicle treatment by either transdermal gels or IP did not alter the pharmacokinetics or intoxicating effects of ethanol.

413 observe neuroprotection in the same binge model following IP ad-
 414 ministration of CBD at 20.0 mg/kg/day (Hamelink et al., 2005), a
 415 dose likely to result in plasma concentrations higher than the levels
 416 reached following transdermal delivery in the current study. Therefore,
 417 it is unlikely that CBD plasma concentrations following transdermal
 418 delivery were above the EC_{max} . Alternatively it is possible that
 419 neuroprotection observed following transdermal CBD and IP CBD are
 420 mediated through different mechanisms. It has been suggested that the
 421 neuroprotective effects of CBD observed during binge alcohol induced
 422 neurodegeneration are due to its high antioxidant capacity (Hampson
 423 et al., 1998; Hamelink et al., 2005), however, CBD has a plethora of phar-
 424 macological targets that may afford neuroprotection. For example, CBD
 425 is an inhibitor of endocannabinoid cellular reuptake and metabolism
 426 and an agonist at adenosine A_{2A} , serotonin 5-HT $_{1A}$ and transient receptor
 427 potential cation channel VI (TRPV1) receptors, all targets implicated
 428 in neuroprotection (Bisogno et al., 2001; Karanian et al., 2005; Castillo
 429 et al., 2010; Muzzi et al., 2012). Interestingly, many of the receptor me-
 430 diated effects of CBD follow an inverted u-shaped curve, which is also
 431 evident for many of the neuroprotective and anti-inflammatory effects
 432 of CBD (Guimaraes et al., 1990; Malfait et al., 2000; Mechoulam et al.,
 433 2002; Mishima et al., 2005; Castillo et al., 2010). In fact, a study by
 434 Mishima et al., found that CBD prevented cerebral infarction via 5-
 435 HT $_{1A}$ receptors at 1.0 and 3.0 mg/kg, but not 0.1 or 10 mg/kg
 436 (Mishima et al., 2005). Therefore, it is possible that CBD plasma con-
 437 centrations achieved following transdermal delivery are conducive to
 438 receptor mediated (possibly 5-HT $_{1A}$) neuroprotection, while higher IP
 439 doses, although out of the range for receptor mediated neuroprotection,
 440 have effects primarily through antioxidant effects. Alternatively, the
 441 neuroprotection observed following transdermal CBD and IP CBD could
 442 be related to the different pharmacokinetic profiles expected following
 443 each route of administration. It is well known that cannabinoids rapidly
 444 **Q4** distribute to fatty tissue including the brain (Harvey, 1999) and although
 445 CBD concentrations were not measured in the brain, it would be interest-
 446 ing to determine how transdermal and IP delivery at these doses differ-
 447 entially affect the brain penetrance of CBD. For example, a recent study
 448 found that C_{max} and estimated exposure (AUC) in the brain was higher
 449 following oral administration compared to IP, which suggests that differ-
 450 ent routes of administration and their resulting pharmacokinetic
 451 profiles affect CBD accumulation in the brain (Deiana et al., 2012).
 452 Therefore, an alternative interpretation to explain the similar magni-
 453 tudes of neuroprotection following transdermal and IP administration

of CBD could be that transdermal administration at these doses opti- 454
 mizes brain distribution of CBD. 455

Importantly, we observed a positive relationship between CBD gel 456
 percentage and day 3 CBD plasma concentrations in ethanol treated 457
 rats, while CBD plasma concentrations were similar across the 1.0%, 458
 2.5% and 5.0% CBD groups during euthanasia (Fig. 4). Although CBD 459
 plasma levels were similar at euthanasia, only 5.0% CBD resulted in 460
 promising neuroprotective effects (Fig. 3). These observations highlight 461
 the importance of administering CBD at therapeutic levels early during 462
 binge ethanol treatment. CBD treatment was initiated following the 463
 third dose of ethanol (Fig. 1A), similar to other studies demonstrating 464
 neuroprotection following antioxidant treatment (Hamelink et al., 465
 2005; Crews et al., 2006). Neuroprotective agents are likely to be more 466
 efficacious when administered at these early time-points because cellular 467
 stress and neurodegeneration can be detected following as few as 1 468
 or 2 days of binge ethanol treatment (Crews et al., 2000; Hayes et al., 469
 2013). For example, unpublished observations show significant impair- 470
 ments in mitochondrial bioenergetics following 2 days of binge treat- 471
 ment (Nixon et al., 2009). Impairment in mitochondrial function is 472
 likely a causal factor contributing to alcohol-induced neurodegeneration 473
 as these impairments result the production of oxidative stress (Nixon 474
 et al., 2009). As CBD is thought to be neuroprotective partially through 475
 antioxidant properties, it is possible that CBD attenuates oxidative stress 476
 caused by impairments in the mitochondrial electron transport chain. 477
 Collectively, these results suggest that neuroprotective agents, including 478
 transdermal CBD, need to be administered at therapeutic levels before 479
 ethanol-induced neurotoxic events are irreversible. 480

Enhanced neuroprotection might be observed by administering CBD 481
 as a pretreatment in addition to treatment during binge exposure; how- 482
 ever this strategy was not implemented in order to mimic a feasible 483
 human application for transdermal CBD. For example, an individual 484
 could apply a CBD patch if a relapse event occurred and not prophylac- 485
 tically as a pretreatment study would mimic. However, a prophylactic 486
 strategy should not be dismissed and may enhance the value of trans- 487
 dermal CBD for the treatment of a variety of other pathologies associ- 488
 ated with AUDs in addition to alcohol-induced neurodegeneration. 489
 Alcoholism is a cyclical disease consisting of periods of binge intake, 490
 acute physical withdrawal, protracted withdrawal and ultimately re- 491
 lapse, which all may be treated by extended release formulations of 492
 CBD (Mechoulam et al., 2002; Ren et al., 2009; Scuderi et al., 2009). 493
 For example CBD has anti-convulsant effects (acute withdrawal), 494

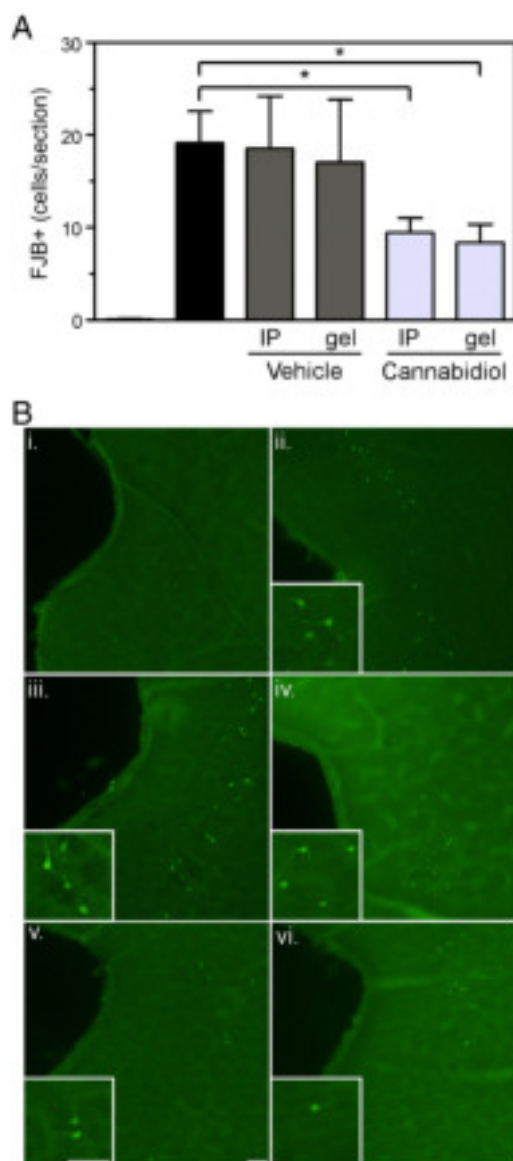


Fig. 6. Treatment with CBD by either IP injection or a second generation transdermal CBD gel resulted in a reduction in Fluoro-Jade B positive (FJB+) cells in the entorhinal cortex following binge ethanol treatment. Quantification of FJB+ cells in the entorhinal cortex (A). Control rats typically had <1 FJB+ cell/section therefore were collapsed across treatment groups. Representative images for each treatment group (B): control, i; ethanol, ii; ethanol + VEH IP, iii; ethanol + VEH gel, iv; ethanol + 2.5% CBD gel, v; ethanol + CBD IP, vi. * $p < 0.05$ compared to ethanol. Scale bars = 50 μm .

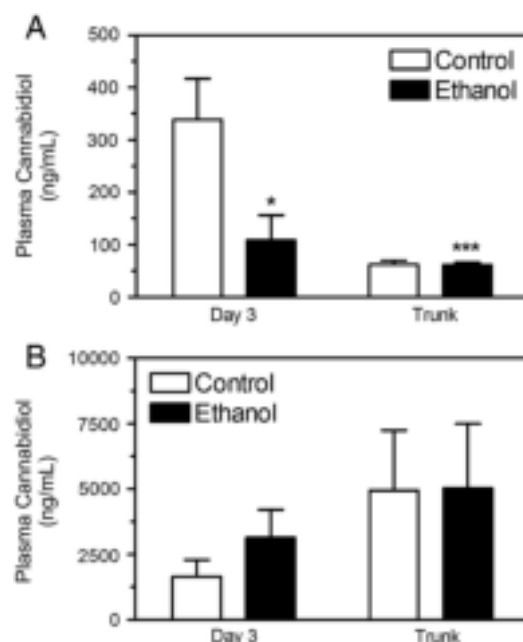


Fig. 7. CBD plasma concentrations following application of a second generation transdermal CBD gel formulation or after IP injection of CBD. CBD plasma levels were quantified following transdermal application (A) or following IP injection (B) in plasma collected from tail vein blood on day 3 and trunk blood during euthanasia. ** $p < 0.01$, *** $p < 0.001$ compared to day 3 control.

these findings into a feasible treatment for AUDs. For example, plasma concentrations achieved by the first generation gel formulation in Experiment 1 were consistently higher in ethanol treated rats (Fig. 4), while the second generation gel formulation resulted in lower CBD plasma concentrations in ethanol treated rats compared to controls at day 2 (Fig. 7). Although the reason for the discrepancy between Experiment 1 and Experiment 2 is unknown, this observation may be related to intrinsic differences in the transdermal flux of CBD between the two formulations. It is also possible that the high BECs achieved during binge ethanol treatment may interfere with the pharmacokinetics of transdermal CBD. For example, studies have shown that forced ethanol consumption in rodents, producing BECs greater than 100 mg/dL, can result in moisture loss in the stratum corneum (Brand and Jendrzejewski, 2008). Dehydration of the stratum corneum could theoretically affect CBD transdermal flux. Furthermore, it is well-known that ethanol interferes with the metabolism of drugs (Weathermon and Crabb, 1999). For example, acute ethanol exposure commonly inhibits hepatic metabolism, while chronic ethanol exposure enhances drug metabolism and clearance (Lieber, 1997). Although it is currently unknown whether altered transdermal flux or metabolism of CBD occurs following binge ethanol treatment, and the current studies were not designed to examine full pharmacokinetic profiles following transdermal delivery of CBD, these considerations are important for future drug development efforts. Even though binge ethanol treatment resulted in alterations in CBD plasma concentrations following transdermal application, one could still argue that transdermal delivery in an alcoholic population may be advantageous compared to oral delivery. Chronic alcohol dependence has dual effects on hepatic metabolism: during ethanol exposure ethanol inhibits hepatic enzyme activity, while enzyme activity can be induced in the absence of ethanol. These contrasting effects on hepatic metabolism can lead to significant variation in systemic blood levels after oral dosing of drugs subjected to high first pass metabolism, such as CBD. Transdermal CBD gels would bypass the first pass effect and thus would be less influenced by the effects of ethanol on hepatic metabolism, leading to more stable systemic blood levels. Even in light of these technological issues, neuroprotection was observed following transdermal CBD delivery. Therefore, future drug development studies are warranted and

495 anxiolytic effects (protracted withdrawal/relapse), reduces drug seek-
 496 ing behavior in rodents (craving/relapse) and has neuroprotective
 497 properties (binge intoxication). Therefore, a prophylactic strategy for
 498 transdermal CBD treatment could be beneficial if future studies demon-
 499 strate efficacy for these other pathologies associated with AUDs.
 500 Furthermore, transdermal delivery of other medications, such as nal-
 501 trexone and acamprosate, could enhance the utility of pharmacothera-
 502 py based treatments for alcohol dependence in general. Transdermal
 503 delivery is a controllable extended release formulation (Paudel et al.,
 504 2010), therefore improves patient compliance because medications
 505 can be administered less frequently. Additionally, transdermal products
 506 are non-invasive which promotes patient friendly usage, in contrast to
 507 injectable formulations. These are important considerations for treating
 508 AUDs as compliance has been low for currently approved medications
 509 (Swift et al., 2011).

510 Although the results of the current study are promising, there are de-
 511 velopmental hurdles that need to be overcome in order to translate

549 should be focused on further understanding and optimizing transdermal
550 CBD systems in intoxicated rodents.

551 Conflict of interest

552 Audra Stinchcomb and Dana Hammell are significant shareholders
553 in AllTranz Inc., a transdermal specialty pharmaceutical company devel-
554 oping cannabinoid-based products.

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